



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/608,911	06/27/2003	David Armes	03292.101720.	7059
66569	7590	04/01/2009		
FITZPATRICK CELLA (AMEX) 30 ROCKEFELLER PLAZA NEW YORK, NY 10112			EXAMINER	
			SHAAWAT, MUSSA A	
ART UNIT		PAPER NUMBER		
3627				
MAIL DATE		DELIVERY MODE		
04/01/2009		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/608,911	<b>Applicant(s)</b> ARMES ET AL.
	<b>Examiner</b> MUSSA A. SHAAWAT	<b>Art Unit</b> 3627

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED. (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 07 January 2009.  
 2a) This action is FINAL.      2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1,3-10,13 and 14 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1,3-10,13 and 14 is/are rejected.  
 7) Claim(s) \_\_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)  
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  
 3) Information Disclosure Statement(s) (PTO/136/08)  
 Paper No(s)/Mail Date \_\_\_\_\_
- 4) Interview Summary (PTO-413)  
 Paper No(s)/Mail Date \_\_\_\_\_  
 5) Notice of Informal Patent Application  
 6) Other: \_\_\_\_\_

#### **DETAILED ACTION**

1. This action is in response to amendment filed on 01/07/2009. Claims 2 and 11-12 have been previously cancelled. Claims 1, 3-10 and 13-14 are pending examination.

#### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1, 3-10 and 13-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Lai et al. in view of Helland et al., Suorsa, Yu, Roche, Vaghi and Taylor et al.

Lai et al. shows all of the limitations of the claims except for specifying a remote processing and particular software services, including credit card processing, calculation of taxes, warehouse management, shipping and invoicing.

Lai et al. shows a transaction processing system and method.

In reference to claim 1, figure 1 shows multiple user terminals 14 (entities) sending transactions to host computer 12. (receiving a request at a host system for a transaction from an entity;)

The connection processor recognizes the protocol directs the output to the proper application 16; column 2, lines 37-40 (determining at the host system software programs for processing the transaction, the software programs being selected from a plurality of software programs located on at least one different system and providing

processing for different services [remote processing]). The application stack 16 provides different services but they are located on the host.

Part of remote processing is (accessing the software programs to process the transaction;)

Part of remote processing is (receiving results at the host system of the processing from a network;)

Results of the application stack 16 are provided back to the multiple users (and providing the results to the entity.)

Helland et al. teaches an automatic transaction processing of component based server applications. Figure 1 shows a group of applications 36 being remotely processed. Column 4, line 63 to column 5, line 3, teaches the distributive computer environment of Helland et al. in order to maximize computer efficiency.

Based on the teachings of Helland et al., it would have been obvious to one of ordinary skill in the art, at the time the invention was made, to modify the shown embodiment shown in figure 1 of Lai et al. to make use of the distributive computer environment of Helland et al. (process application stack of Lai et al. remotely) in order to maximize computer efficiency.

Suorsa teaches, column 2, line 17, credit card processing software.

Yu teaches, column 2, line 65, calculation of taxes software.

Taylor et al. teaches, column 9, lines 12-13, warehouse management software.

Vaghi teaches, column 2, line 55, shipping software.

Roche teaches, column 8, line 68 invoicing software.

Based on the teachings of Suorsa, Yu, Roche, Vaghi and Taylor et al., it would have been obvious to one of ordinary skill in the art, at the time the invention was made, to specify known software applications, including credit card processing, calculation of taxes, warehouse management, shipping and invoicing software, as part of the Lai application library in order to generate greater revenue from the usage of known software.

In reference to claim 3. The method of claim 1 wherein the accessing step includes accessing a solution stack having a plurality of software programs providing services to process the transaction. Applications 36 from Helland et al.

In reference to claim 4. The method of claim 1 wherein the accessing step includes using an integration block to access the software programs and maintain the software programs decoupled. Management system 20 of Lai et al.

In reference to claim 5. The method of claim 1 wherein the accessing step includes using the software programs providing the following services: credit card processing, calculation of taxes, warehouse management, shipping, and invoicing.

See above teachings.

In reference to claim 6. The method of claim 1, further including providing to the entities a single access point for processing of transactions using the software programs. Management system 20 of Lai et al.

Claims 7-10 and 13-14 are substantially similar to claims 1 and 2-6.

Examiner, per 37 CFR 1.104 (c) (2), has pointed out particular references contained in the prior arts of record in the body of this action for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant, in preparing the response, to consider fully the entire references as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior arts of disclosed by the examiner.

***Response to Arguments***

4. Applicant's arguments have been fully considered but are not persuasive. In particular applicant argues that, A) the references do not disclose or suggest programs being located on at least one different system from a system that receives a request for a transaction.

In response to A) the examiner respectfully disagrees. Applicant is reminded that claims must be given their broadest reasonable interpretation. Helland teaches programs being located on at least one different system from a system that receives a

request for a transaction, i.e. disclose remote processing (see at least col. 4 line 63-col.5 line 3; col. 6 line 49-col. 7 line 27). Therefore Lai in view of Helland still meets the scope of the limitation as currently claimed.

***Conclusion***

5. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MUSSA A. SHAAWAT whose telephone number is (571)272-2945. The examiner can normally be reached on Mon-Fri (8am-5:30pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Florian Zeender can be reached on 571-272-6790. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Mussa A Shaawat/  
Examiner, Art Unit 3627  
March 21, 2009

/F. Ryan Zeender/  
Supervisory Patent Examiner, Art Unit 3627